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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: Takashi HARUGUCHI, et al.  
Application No.: 10/673,550  
Filed: September 30, 2003  
For: OPTICAL PICKUP DEVICE AND OPTICAL DISK DEVICE

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the reference(s) listed on the attached Form PTO 1449. CN '040 is cited in a Chinese Office Action dated May 19, 2006. US '648 corresponds to CN '040.

Applicants present this art so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see Beckman Instruments, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106. Applicants respectfully request that this art be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,

James E. Ledbetter  
Registration No. 28,732

Date: August 14, 2006

JEL/ejw  
ATTORNEY DOCKET NO. L8612.03102  
STEVENS, DAVIS, MILLER & MOSHER, L.L.P.  
1615 L Street, NW, Suite 850  
P.O. Box 34387  
Washington, DC 20043-4387  
Telephone: (202) 785-0100  
Facsimile: (202) 408-5200

FORM PTO-1449 U.S. Department of Commerce  
(Rev. 4/92) Patent and Trademark Office

ATTY. DOCKET NO.

SERIAL NO.

L8612.03102

10/673,550

APPLICANT

Takashi HARUGUCHI, et al.

FILING DATE

September 30, 2003

GROUP

Unassigned

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL			DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
			5	5	6	1	6	4	8	10/1996	Song			

## FOREIGN PATENT DOCUMENTS

			DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
														YES	NO
			1	1	2	2	0	4	0	05/1996	CN				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Chinese Office Action dated May 19, 2006 with English translation.											

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])